

Univalence implies Function Extensionality

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Abstract

Assume a judgemental η -rule for functions and univalence. Given $f : \Pi(a : A), B$, let: $\text{himg}_f(a) \equiv (\Sigma(b : Ba), fa = b)$ for $a : A$, $\text{hgraph}(f) \equiv (\Sigma(a : A), \text{himg}_f(a))$, and $\text{pr}_A : \text{hgraph}(f) \rightarrow A$ be the canonical projection. Note that pr_A is an equivalence (f gives the data). Construct a retract $r : \text{fib}_{\text{pr}_A \circ (-)}(\text{id}_A) \rightarrow (\Sigma(g : \Pi(a : A), B), f \sim g)$ as $r(k, p) \equiv (\text{fst} \circ \text{im}, \text{snd} \circ \text{im})$ where $\text{im} \equiv \lambda a. \text{tr}_{A, \text{himg}}(pa, \text{snd}(ka))$, as witnessed by $s(g, h) \equiv (\lambda a. (a, (ga, ha)), \text{refl}_{\text{id}_A})$. Using univalence, deduce that the function $\text{pr}_A \circ (-) : (A \rightarrow \text{hgraph}(f)) \rightarrow (A \rightarrow A)$ is an equivalence so that $\Sigma(g : \Pi(a : A), B), f \sim g$ is contractible and a set. Observe $\text{tr}_{\Pi(a : A), B, f \sim} (f, g, p, \lambda a. \text{refl}_{fa}) = \text{happly}(p)$, and conclude that $\text{fib}_{\text{happly}}(h) \equiv (\Sigma(p : f = g), \text{happly}(p) = h) \simeq ((f, \lambda a. \text{refl}_{fa}) = (g, h))$ is contractible. ■

1. Why this proof?

Given a function $f : \Pi(a : A), B$ and $a : A$, we know that the homotopy image of a , defined as $\text{himg}_f(a) \equiv \Sigma(b : Ba), fa = b$, is contractible. Should we define the corresponding homotopy graph of f as $\text{hgraph}(f) \equiv \Sigma(a : A), \text{himg}_f(a)$, the previous observation allows us to prove that $\text{pr}_A : \text{hgraph}(f) \rightarrow A$ is an equivalence.

While not interesting on its own, we may note that functions $g : \Pi(a : A), B$ which are homotopic to f are *nearly* the same things as functions $A \rightarrow \text{hgraph}(f)$. Nearly only so because that type has things which are ‘wild’, they may map a term a to a term $b' : B(a')$ in a fibre not necessarily related to $B(a)$ and so may not be readily rectified into functions. Some meditation on this reveals that terms $\bar{g} : A \rightarrow \text{hgraph}(f)$ corresponding to functions $g : \Pi(a : A), B$ and witnesses $f \sim g$ are precisely those for which we have a proof $\text{pr}_A \circ \bar{g} \sim \text{id}_A$.

Thus we see that the data carried by the type $\Sigma(g : \Pi(a : A), B), f \sim g$ may be equivalently found in some sub-type of $A \rightarrow \text{hgraph}(f)$. Were we able to show that this sub-type was contractible, we would in particular show that if $f \sim g$ then $f = g$. Unfortunately, in the absence of function extensionality, there does not appear to be an easy way to pin-down this sub-type of functions in such a way that contractibility is easily shown.

Instead, we restrict our attention to an *a priori* ‘larger’ sub-type of $A \rightarrow \text{hgraph}(f)$, viz., those terms $\bar{g}$ for which we have a proof $p : \text{pr}_A \circ \bar{g} = \text{id}_A$. As the existence of such a term p certainly entails the existence of a term $\text{happly}(p) : \text{pr}_A \circ \bar{g} \sim \text{id}_A$ we expect (and may prove) that this sub-type retracts onto $\Sigma(g : \Pi(a : A), B), f \sim g$.